Welcome to UnitedHealthcare Provider Orientation

Children's Behavioral Health Transformation

Effective January 1, 2020





United Behavioral Health of New York, I.P.A., Inc. operating under the brand Optum

Today's Agenda

- Overview of Optum and UnitedHealthcare
- Clinical Vision
- Cultural Competency
- Children's Behavioral Health Services
- Health Home Care Management
- Credentialing
- Member Eligibility
- Utilization Management
- Quality Improvement
- Billing and Claims
- Provider Portal and Resources





Our United Culture

Our mission is to help people live healthier lives. **Our role** is to make health care work for everyone.

Integrity. **Compassion**. **Relationships**. Innovation. Performance.

Honor commitments Never compromise ethics

Walk in the shoes of people we serve and those with whom we work

Build trust through collaboration

Invent the future, learn from the past

Demonstrate excellence in everything we do





UnitedHealthcare Community Plan

- Dedicated to providing benefits to the economically disadvantaged and medically underserved
- Manage benefits in 24 states, plus Washington D.C
- Serves more than 5 million beneficiaries
- Uniquely designed to address the complex the chronically ill, disabled, and people with higher risk medical, behavioral and social conditions

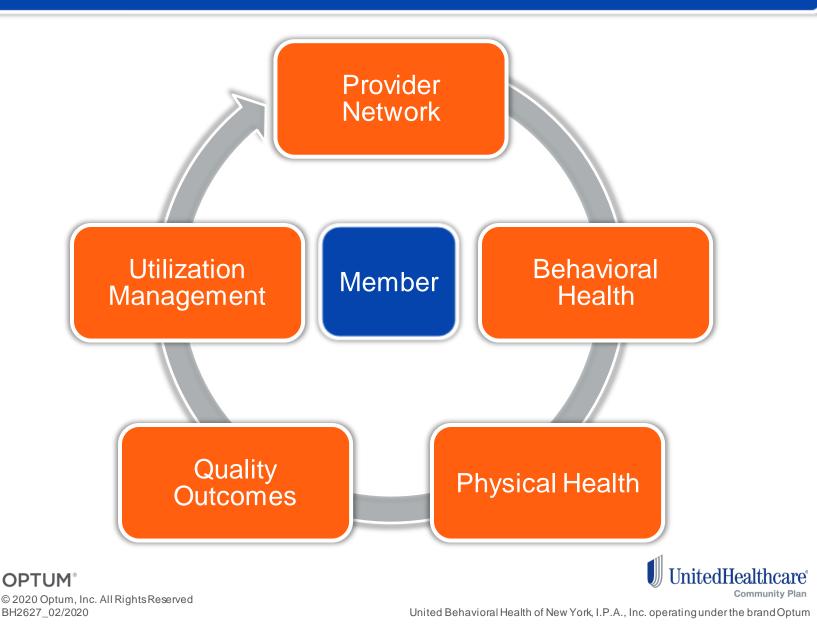




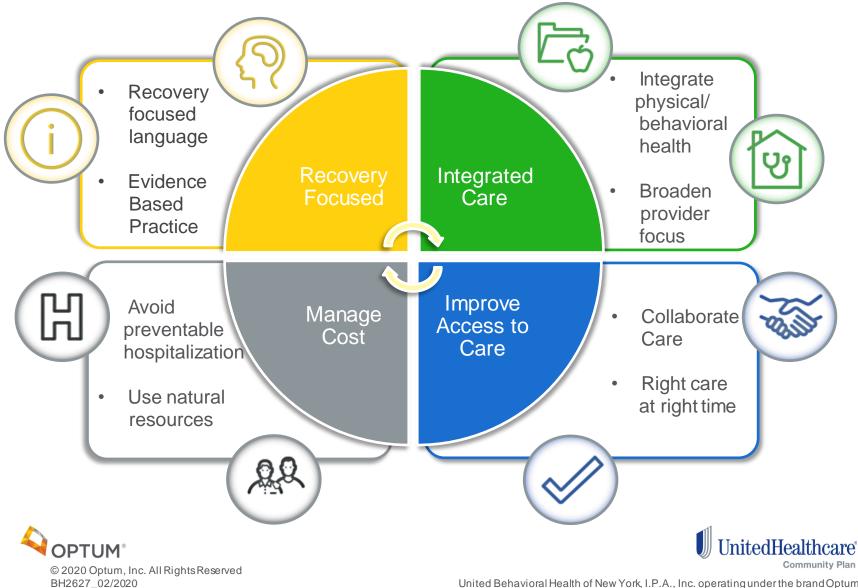


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UnitedHealthcare: Our Organization



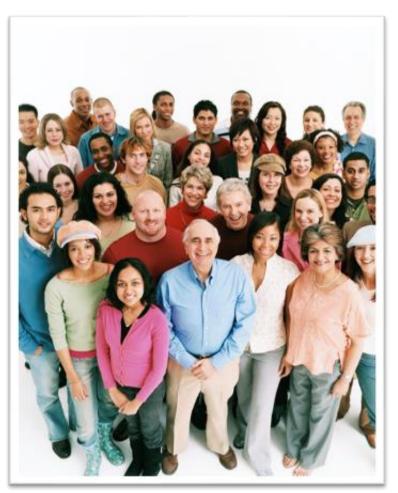
UnitedHealthcare: Our Goals and Clinical Vision



United Behavioral Health of New York, I.P.A., Inc. operating under the brand Optum

Awareness of Diversity and Culture in Clinical Settings

- Differences found in diverse cultures
- Individual differences affect assessment and response to treatment
- Personality, culture, lifestyle and other factors influence client behavior
- Culturally sensitive counseling methods improve outcomes
- Dynamics of family systems and lifestyles influence treatment response
- Client advocacy needs to be specific to diverse cultures







Children's Behavioral Health Services



Community Plan



Children's Medicaid System Transformation Timeline



Children and Family Treatment and Supports (CFTSS)

- Other Licensed Practitioner (OLP)
- Community Psychiatric Supports and Treatment (CPST)
- Psychosocial Rehabilitation (PSR)



Waiver agencies must obtain the necessary LPHA recommendation for CFTSS that crosswalk from historical waiver services

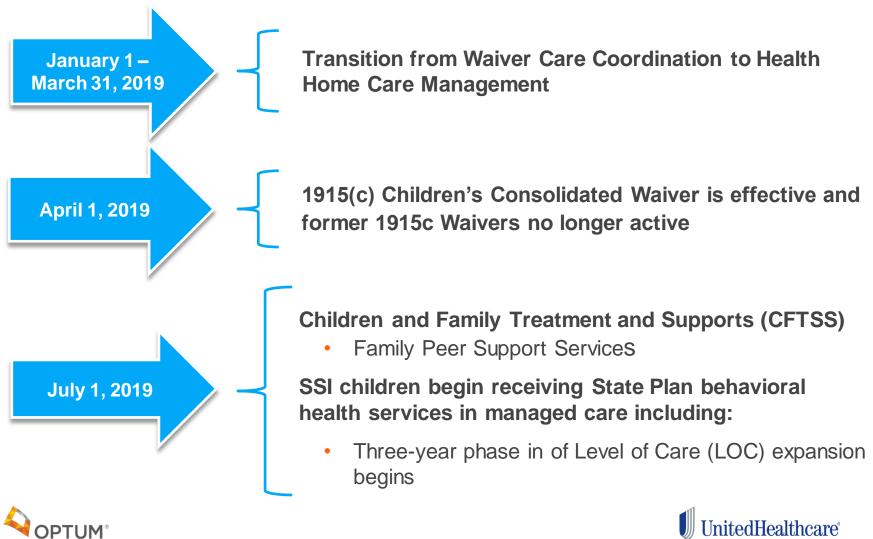
Revise service names in Plan of Care for transitioning waiver children

Last billable date of waiver services that crosswalk to CPST and/or PSR





Children's Medicaid System Transformation Timeline



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Mental Health Services Covered by Medicaid Managed Care

July 1, 2019

Assertive Community Treatment (ACT)

Personalized Recovery Oriented Services (PROS)

Inpatient Psychiatric Services

Operation of Outpatient Programs

- Continuing Day Treatment (CDT) Program
- Partial Hospitalization

Comprehensive Psychiatric Emergency Program (CPEP)

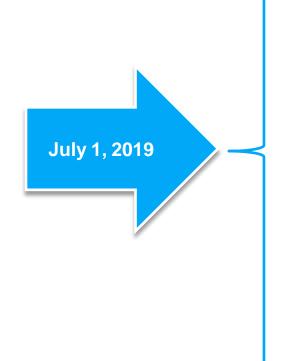
Clinic Treatment Programs

- Outpatient Clinic Services
- OMH Licensed SED designated clinics
- Integrated Outpatient Clinic Services (IOP)
- Licensed Behavioral Health Practitioner Services (LBHP)





Substance Use Disorder (SUD) Services Covered by Medicaid Managed Care



Part 816 - Withdrawal and Stabilization Services

- Hospital Based Medically Managed Inpatient Detox
- Medically Supervised Inpatient Withdrawal and Stabilization
- Medically Supervised Outpatient Withdrawal and Stabilization
- Part 818 Chemical Dependence Inpatient Rehabilitation Services
- Part 820 Residential Services Stabilization and Rehabilitation

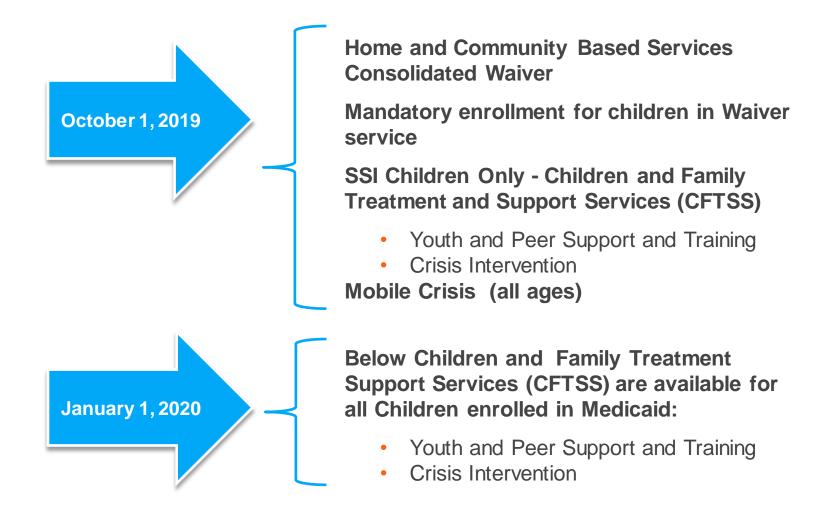
Part 822 - Outpatient Services

- Chemical Dependence Outpatient (CD-OP) Clinic
- Rehabilitation Services
- Opioid Treatment Programs (OTP)





Remaining Children's Behavioral Health Implementation Timeline







Children and Family Treatment Services and Support (CFTSS) Crosswalk

g Waiver Services	New Services	
OCFS B2H Waiver	CFTSS	
	Psychosocial Rehabilitation (PSR)	
Skill Building	Youth Peer Services	
N/A	Other Licensed Practitioner (OLP)	
Immediate Crisis Response Services	Community Psychiatric Supports Treatment (CPST) Crisis Intervention OLP Crisis Component	
Crisis Avoidance Management and Training Intensive In Home Services	CPST	
	Family Peer Support Services (FPSS)	
	OCFS B2H Waiver Skill Building N/A Immediate Crisis Response Services Crisis Avoidance Management and Training	



UnitedHealthcare[®]

Community Plan

Transition from OMH SED and OCFS B2H Waiver to HCBS

Existing Waiver Services		New Services	
OMH HCBS	OCFS B2H Waiver	HCBS	
Individualized Care Coordination	Health Care Integration	Health Home	
Respite Services	Crisis and Planned Respite	Respite: Crisis and Planned	
Prevocational Services	Prevocational Services	Prevocational Services	
Supported Employment	Supported Employment	Supported Employment	





Transition from OCFS B2H Waiver to HCBS

Existing Waiver Services		New Services	
OCFS B2H Waiver		HCBS	
Family Caregiver Support Services		Caregiver/Family Support and Services	
Special Needs Community Advocacy and Supports (SNCAS)		Community Self Advocacy Training and Support	
Day Habilitation		Community Habilitation Day Habilitation	
Adaptive and Assistive Equipment		Adaptive and Assistive Equipment	
Accessibility Modification		Environmental Modification Vehicle Modification	





Transitioning from CAH I/II Waiver to HCBS

Exi	sting Waiver Services		New Services
CAH I/II Waiver			HCBS
Case Management			Health Home
	Family Education		Care Giver Family Supports and Services
Palliative Care	Bereavement		Palliative Care: Bereavement Services
	Massage Therapy		Palliative Care: Massage Therapy
	Expressive Therapy		Palliative Care: Expressive Therapy
	New Service		Palliative Care: Pain and Symptom Management
Home and Vehicle Modifications			Environmental Modifications Vehicle Modifications





Health Home Care Management







What is a Health Home?

Health Home Definition:

A unified system of care to coordinate and integrate the physical and behavioral health care, chemical dependence treatment and social services provided to Members:

- Introduced by the Affordable Care Act (ACA) in Section 2703
- Individual states have the flexibility to determine eligible health home care providers

New York Health Home:

- Members must actively enroll
- If a Member opts out, it must be documented





UnitedHealthCare Community Plan contracts with Health Homes (HH) across NYS to provide care coordination and comprehensive care management our improve our Member's health outcomes.

Health Homes within Children's Implementation

- January 2019 Children served under 1915(c) waivers transition to Health Homes Conflict-Free Case Management Services
- Planned in collaboration with child/youth, family members/ caregivers and systems involved in the Member's life and care

Purpose

- Define the goals for child/youth
- Develop a service plan
- Gather the necessary resources
- Provide appropriate support
- Evaluate progress
- Determine HCBS eligibility
- Follow HCBS workflow when appropriate





Children must meet institutional and functional eligibility criteria for the Level of Care (LOC) using either:

 The Child and Adolescent Needs and Strengths New York (CANS-NY) tool

OR

2. The Office for People with Developmental Disabilities (OPWDD) Level of Care/Medical Care Screen eligibility tool for children with developmental disabilities who may be medically frail or in foster care





Medicaid beneficiary requires case management services

AND

Two (2) or more chronic conditions such as substance use disorder, asthma, diabetes

OR

One (1) single qualifying condition:

- HIV/AIDS
- Serious Emotional Disturbance (SED)
- Complex Trauma





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Children and Youth Evaluation Service (C-YES)

- Effective January 1, 2019, 1915(c) Waiver Care Managers became Health Home Care Managers
- Members who do not want to participate in Health Home Care Management can get care coordination from the Children and Youth Evaluation Service (C-YES) without a disruption in service

Children and Youth Evaluation Services (C-YES)

- Provides HCBS-Only Care Coordination
- Can complete:
 - Children's HCBS eligibility determinations
 - ✓ HCBS Annual Assessments
 - ✓ HCBS Plans of Care outlining goals and services
- Assist with the Medicaid eligible application process





Health Home: Getting Connected

Members can be referred to Health Home Care management by:

- You, the provider
- PCP or specialist
- Emergency room or inpatient discharge planner
- SPOA
- Other Service Providers

To make a referral for Health Home services:

- Reach out directly to the Health Home in the area where the member lives
- Each Health Home has a referral line or web portal for easy referral

For a list of the Health Home covering a member's geographical area: health.ny.gov/health_care/medicaid/program/medicaid_health_homes/hh_map/index.htm





Health Homes and Independent Entity Resources

Medicaid Helpline Toll-Free Line:

1-800-541-2831

New York State Department of Health's Health Home Line:

1-518-473-5569

Health Home Resource Center:

health.ny.gov/health_care/medicaid/program/medicaid_health_homes/lead_hhc.htm





Home and Community Based Waiver Services (HCBS)







1915 (c) HCBS Waiver Programs:

- Office of Mental Health SED HCBS
- Department Of Health Care at Home (CAH) I/II
- Office for People With Developmental Disabilities Care at Home (CAH)
- Office for Children and Family Services Bridges to Health (B2H) including:
 - ✓ Social Emotionally Disturbance (SED)
 - ✓ Medically Fragile (Med Frag)
 - ✓ Developmental Disabled (DD)

Children and Youth Evaluation Service (C-YES)

1115/1915 (c) waivers combined referred to as "Children's Waiver"

Expansion of Waiver Service Eligibility





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Who can receive HCBS Services?

ANY child under the age of 21 that meet eligibility. There is no exclusion group.

How do children receive an eligibility assessment? Referrals for Medicaid recipients go Health Homes. Referrals for Medicaid eligible children will go to C-Yes.

Where do evaluators access the Level of Care eligibility determination? In the Uniform Assessment System (UAS).

Who can complete the Level of Care eligibility determination? Only Health Home Care Managers, Children and Youth Evaluation Service assessors, and Developmental Disabilities Regional Office staff.

Link to July 2019 HCBS Manual:

health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/hcbs.htm





Level of Care (LOC) Eligibility Determination is used for HCBS enrollment and includes children that meet institutional placement requirements

LOC has four (4) subgroups:

- Children with Serious Emotional Disturbance (SED)
 - With or without Co-occurring Substance Use Disorders (SUD)



- Children with a Developmental Disability in Foster Care
- Children who are Medically Fragile
- Children who are Medically Fragile with a Developmental Disability





Children who are Health Home are eligible for Home and Community Based Services

Level of Need (LON) includes children who are <u>at risk</u> of institutional placement



There are two subgroups within the LON group:

- Children with Serious Emotional Disturbance (SED)
 ✓ With or without co-occurring Substance Use Disorders (SUD)
- Abuse, Neglect and Maltreatment or Health Home Complex Trauma





HCBS Workflow: Health Home Enrolled Children

Health Home Enrolled Child: Child enrolled in HCBS PRIOR to October 1, 2019

- HCBS Provider MUST notify the Plan prior to October 1, 2019 utilizing the Prior Authorization and Notification (PAAN) portal OR calling member engagement at
 - 1-866-362-3368 (as listed on the back of the Member card) When prompted:
 - ✓ Enter TIN
 - Select "Care Notifications and Prior Authorizations"
 - Enter UHC Member ID (as listed on front of the Member's ID card)
 - Enter Member DOB
 - ✓ Select "Mental Health
- Plan will not conduct Medical Necessity Review for 180 days but requires notification to minimize claims disruption
- Out of Network Providers must notify the plan of member engagement
 - ✓ 24 Months for Transition of Care for a Continuous Episode of Care
- Once the HCBS provider develops a treatment plan for member they must send it to the Health Home Care Management Agency who will update the Plan of Care (POC) and submit it to the Plan





HCBS Workflow: Health Home Enrolled Children

Health Home Enrolled Child: A Child Enrolled in HCBS AFTER October 1, 2019

- HCBS Provider MUST notify the Plan of the date of the Child's first appointment utilizing the Prior Authorization and Notification (PAAN) portal uhcprovider.com/paan OR by calling member engagement at 1-866-362-3368 (as listed on the back of the Member card) When prompted:
 - ✓ Enter TIN
 - ✓ Select "Care Notifications and Prior Authorizations"
 - ✓ Enter UHC Member ID (as listed on front of the Member's ID card)
 - Enter Member DOB
 - ✓ Select "Mental Health
- Prior Authorization is not required for the first 24 hours/96 units/60 days of HCBS service which ever comes first
- Plan will not conduct Medical Necessity Review for 90 days but requires notification to minimize claims disruption
- Once the HCBS provider develops a treatment plan for member they must send it to the Health Home Care Management Agency who will update the Plan of Care (POC) and submit it to the Plan

Quick Reference Guide and Other Helpful Resources and Videos and Training for PAAN can be found at this link.





HCBS Workflow: Health Home Enrolled Children

Health Home Enrolled Child added additional HCBS services as of October 1, 2019

- Either through assessment or service provision, HCBS Provider determines the child could benefit from an additional HCBS service
- If the HCBS provider is a designated provider for the desired HCBS service, the HCBS provider simultaneously notifies the Plan and the Health Home Care Management Agency or C-YES to update the Plan of Care
- If the HCBS provider is NOT a designated provider for the desired HCBS service, the HCBS provider should notify the Plan or the Health Home Care Management Agency for referral





C-YES Workflow

Child Enrolled in HCBS AFTER October 1, 2019

- HCBS Provider MUST notify the Plan of the date of the Child's first appointment utilizing the Prior Authorization and Notification (PAAN) portal uhcprovider.com/paan OR by calling member engagement at:
- 1-866-362-3368 (as listed on the back of the Member card) When prompted:
 - ✓ Enter TIN
 - ✓ Select "Care Notifications and Prior Authorizations"
 - ✓ Enter UHC Member ID (as listed on front of the Member's ID card)
 - Enter Member DOB
 - ✓ Select "Mental Health
- Prior Authorization is not required for the first 24 hours/96 units/60 days of HCBS service which ever comes first
- Plan will not conduct Medical Necessity Review for 90 days but requires notification to minimize claims disruption
- Once the HCBS provider develops a treatment plan for member they must send it to the Plan who will update the Plan of Care (POC)

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Home and Community Based Services (HCBS) Waiver Programs

Children's Behavioral Health services will expanded on October 1, 2019 to include the following Home and Community Based Services:

- Community Habilitation
- ✓ Day Habilitation
- Caregiver/Family Supports and Services
- ✓ Respite
- Prevocational Services
- Supported Employment

- Non-Medical Transportation
- Adaptive and Assistive Equipment
- Vehicle Modification
- Environmental Modification
- ✓ Palliative Care
- Community Self-Advocacy Training and Supports
- Recipients and caregivers learn and practice skills outside of traditional office environment, typically in the child's home and community-based settings
- Focus on recovery and building resilience, and prevention of the escalation of symptoms that might result in the need for more intensive services





Day Habilitation and Caregiver/Family Supports and Services (CFSS)

Day Habilitation

- Assists with acquisition, retention or improvement of daily living skills such as: Self-Help, Socialization, Adaptive Skills (e.g. Communication or Independent Travel)
- Activities and environment foster the acquisition of skills such as: Appropriate Behavior, Greater Independence, Community Inclusion, Relationship Building, Self-Advocacy, Informed Choice

Caregiver/Family Supports and Services (CFSS)

- Enhance the child/youth's ability, (regardless developmental, physical, or behavioral disability) to function as part of a caregiver/family unit
- Enhance the caregiver/family ability to care for the child/youth in the home and/or community
- Family is broadly defined, and can include families created through: birth, foster care, adoption, or a self-created unit





Prevocational Services; Community Self-Advocacy Training and Supports (CSTS); and Community Habilitation

Prevocational Services

- Individually designed to prepare a youth (age 14 or older) to engage in paid work, volunteer work, or career exploration.
- Assist with facilitating appropriate work habits, acceptable job behaviors, and learning job production requirements.

Community Self-Advocacy Training and Supports (CSTS)

- Provides family, caregivers, and collateral contacts with techniques and information not generally available so that they can better respond to the needs of the participant
- Intended to assist the child/youth, family/caregiver, and collateral contacts in understanding and addressing the participants needs related to their disabilities

Community Habilitation

Covers face to face services and supports related to the Child's acquisition, maintenance, and enhancement of skills necessary to perform Activities of Daily Living (ADL), Instrumental Activates of Daily Living (IADLs), Health Related Tasks Delivered in the Community (Non-Certified) Settings





Respite and Supported Employment

Respite

- Service focuses on short-term assistance provided to children/youth, regardless of disability (developmental, physical and/or behavioral), because of the absence of or need for relief of the child or family caregiver
- Services can be provided in a planned mode or delivered in a crisis situation
- Respite workers supervise the child/youth and engage the child/youth in activities that support his/her and/or primary caregiver/family's constructive interest and abilities

Supported Employment

- Prepare, assist, and support youth with disabilities (age 14 or older) to engage in paid work in an integrated work setting in the general workforce
- Individualized and may include any combination of the following services, included but not limited to:
 - Vocational/Job-Related
 Discovery or Assessment,
 Person-Centered Employment
 Planning
 - Job Training and Planning and Career Advancement Services
 - Transportation or Other Workplace Supports





Adaptive and Assistive Equipment and Palliative Care

Adaptive and Assistive Equipment

- Provides technological aids and devices which enables him/her to accomplish daily living tasks that are necessary to support the health, welfare, and safety of the child
- Examples:
 - ✓ Encoding Communicators
 - Speech Amplifiers
 - ✓ Standing Boards /Frames
 - Therapeutic Equipment (to maintaining or improving the participant's strength, mobility, or flexibility)

Palliative Care

- Specialized medical care focused on providing relief from the symptoms and stress of a chronic condition or life threating illness to improve quality of life for both the child and family
- Appropriate at any stage of a chronic condition or life threatening illness and can be provided along with a curative treatment
- May include services such as:
 - Expressive Therapy
 - ✓ Massage Therapy
 - ✓ Bereavement Services
 - Pain and Symptom Management





Non-Medical Transportation; Vehicle Modification; and Environmental Modification (E-mods)

Non-Medical Transportation

- Services are offered in addition to any medical transportation furnished under 42 CFR 440.17(a) in the State Plan
- Non medical transportation services are available for individuals to access authorized HCBS and destinations that are related to a goal included in the child/youths Plan of Care (e.g. job interview, college fair, GED preparation class, etc.)

Vehicle Modification

- Formerly called Home and Vehicle Modifications
- Provide physical adaptations to the primary vehicle of the enrolled child
- Must be in the child's Plan of Care (POC)
- Identified as necessary to support the health, welfare, and safety of the child or that enable the child to function with greater independence

Environmental Modification (E-mods)

Internal and external physical adaptations to the home, which are necessary to ensure the health, welfare, safety of the patient; allowing increased independence and to prevent institutionalization





Youth Peer Support and Training (YPST), Crisis and Mobile Crisis

Youth Peer Support Services (YPST)

- Provided by certified Youth Peer Advocates
- Individual and group-based activities that help the youth learn and expand life skills such as communication, problem solving and self-advocacy skills

Crisis Intervention

- Crisis services provided to members under 21 identified as experiencing an acute psychological/emotional change which results in a marked increase in personal distress and which exceeds the abilities and the resources of those involved (e.g. family, provider, community member) to effectively resolve it
- Services are provided through a multidisciplinary team to meet the unique needs of the child/youth and family

Mobile Crisis Component

- The Mobile Crisis Component of the Crisis Intervention benefit is designed to integrate new mobile crisis services into existing behavioral health crisis community response systems.
- Mobile Crisis Components includes Telephonic Triage and Crisis Response, Mobile Crisis Response, Telephonic Crisis Follow-up, Mobile Crisis Follow-up
- No prior authorization required and available for adults and children





First Episode Psychosis (FEP): OnTrackNY

The New York State Office of Mental Health has implemented a program to identify and intervene with New Yorkers who experience psychiatric symptoms associated with psychosis.

- OnTrackNY utilizes a "shared decision making model."
- The program utilizes evidenced based practices and a multi-disciplinary clinical team who specialize in treating early symptoms of psychosis
- OnTrackNY programs are located throughout New York State and New York City
- The goals are to shorten the duration of untreated psychosis and immediately link the Member to early intervention services





First Episode of Psychosis (FEP): Resources

OnTrackNY:

- Offers specialized clinical service for adolescents and young adults between the ages of 16 and 30 who have been experiencing psychotic symptoms for more than a week but less than 2 years
- UHCNY BH Assessment and Triage (A&T) call center will notify OnTrackNY when a member fitting the above criteria is admitted into an inpatient unit
- To learn more or make a referral visit the OnTrackNY website and click on Providers tab: ontrackny.org/

NYC Supportive Transition and Recovery Team (START):

- Employs the evidence-based practice of Critical Time Intervention (CTI) to engage adolescents and young adults between the ages of 16 and 30 experiencing their first adult hospitalization for psychosis
- To learn more visit the NYC Start website:

1.nyc.gov/site/doh/health/health-topics/crisis-emergency-services-nyc-start.page





Credentialing







Becoming a Participating Provider

Step 1: Must be a state designated provider

omh.ny.gov/omhweb/bho/provider-designation.html

Step 2: Submit Group or Facility Provider Application with Supporting Documentation, such as:

- Signed Agreement
- Signed Disclosure of Ownership Control Interest Statement
- W9 Form
- Step 3: Application Review and Approval by Optum Credentialing Committee





If your agency is already a participating provider, you need to update your area of expertise (AOE) as part of this implementation.

Email the Optum Network Mailbox with questions via email:

nynetworkmanagement@optum.com

OR

Contact Your Network Manager

A list of Network Managers for your region is available at:

matrix.ctacny.org/





Participating Provider: Re-Credentialing

- As established by NCQA, re-credentialing occurs every 36-months (3years)
- Providers should receive a re-credentialing packet several months in advance. (If you do not received it, please proactively reach out to your Network manager)
- Failure to complete re-credentialing paperwork or participate in the recredentialing site audit (when applicable) will impact the provider's status in the network
- All re-credentialing paperwork must be completed
- Site audit may be required
- The re-credentialing process takes time, so it is important to complete and submit required documentation as soon as possible.







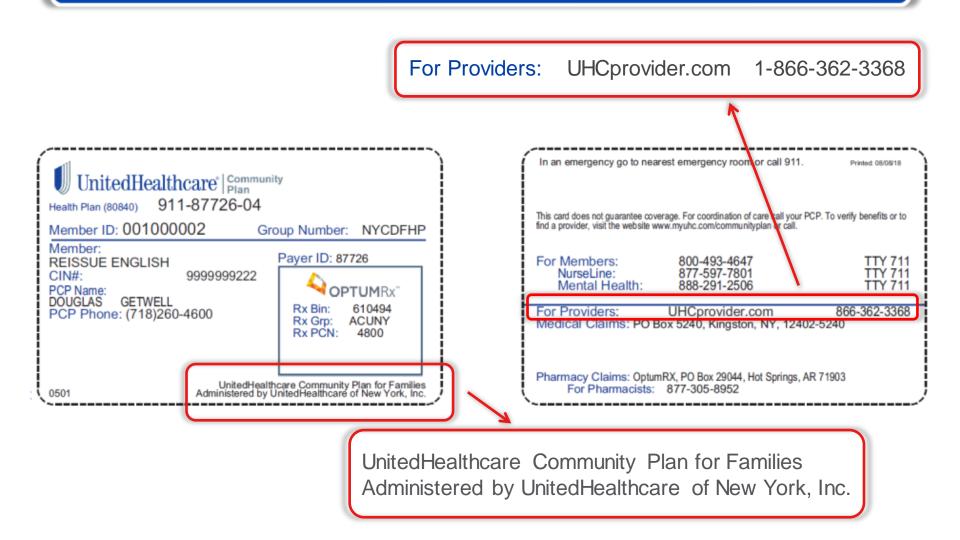
Member Eligibility







Under 21 Membership Cards: Contact for Providers







Under 21 Membership Cards: Contact for Members

	For Members:	1-800-493-4647	TTY 711
UnitedHealthcare [®] Community Health Plan (80840) 911-87726-04 Member ID: 001000002 Group Number: NYC Member: REISSUE ENGLISH CIN#: 9999999222 PCP Name: DOUGLAS GETWELL PCP Phone: (718)260-4600 REISSUE ADDA	DFHP find a For	in emergency go to nearest emergency room or call 911. card does not guarantee coverage. For coordination of care call your Pi provider, visit the website www.myuhc.com/communityplan or call. Members: 800-493-4647 NurseLine: 877 507 7801 Mental Health: 888-291-2506	Printed: 08/08/18 CP. To verify benefits or to TTY 711 TTY 711 866-362-3368
UnitedHealthcare Community Plan for Administered by UnitedHealthcare of New Y	Pha	dical Claims: PO Box 5240, Kingston, NY, 12402 rmacy Claims: OptumRX, PO Box 29044, Hot Springs, Al For Pharmacists: 877-305-8952	2-5240





Prior Authorization and Notification (PAAN)

Services that require authorization and notification can be obtained by the following: **Pathways for submission**:

Electronic: Submit Prior Authorization and Notifications **(PAAN)** and supporting documentation including Notification Documents, including NYS SUD Notification Documents (Treatment plan/Appendix A and LOCADTR), can be submitted for New York Medicaid utilizing portal: uhcprovider.com/paan

Quick Reference Guide and Other Helpful Resources and Videos and Training can be found at this link.

Telephonic: Requests for services that require authorization and notification (includes both prior authorization requests and concurrent review requests) can be obtained by calling:



Toll-free line: 1-866-362-3368 (as listed on the back of the Member card)

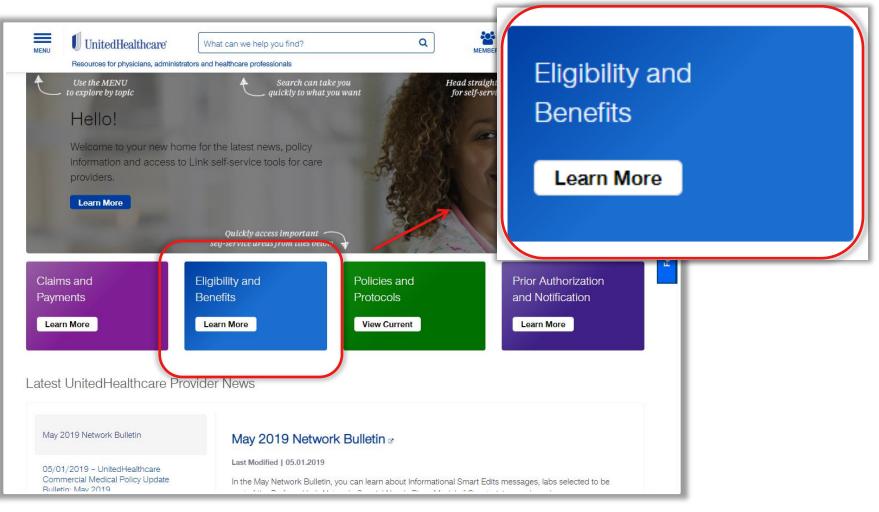
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Optum ID or em	all address		Additional options:
Password		_	Create an Optum ID Manage your Optum ID
			What is an Optum ID?
	Sign in		



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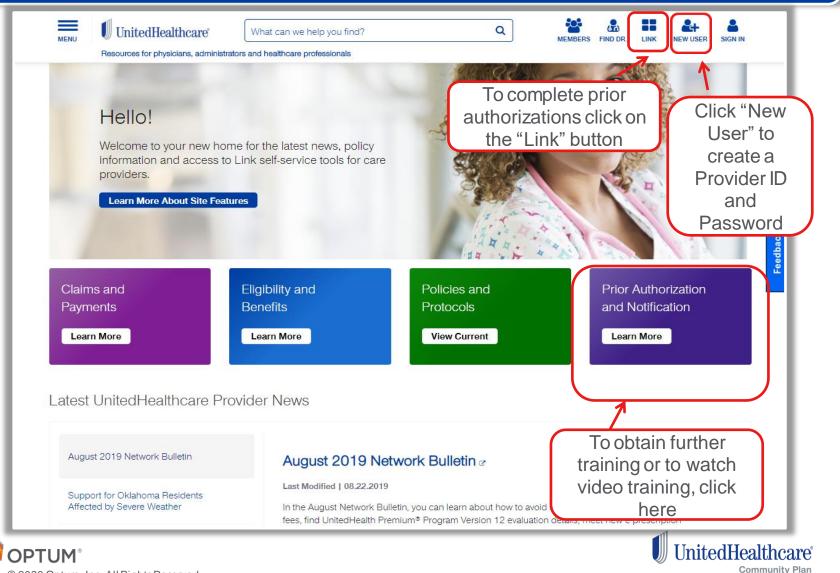
uhcprovider.com/eligibility







Electronic Prior Authorization and Notification (PAAN): uhcprovider.com



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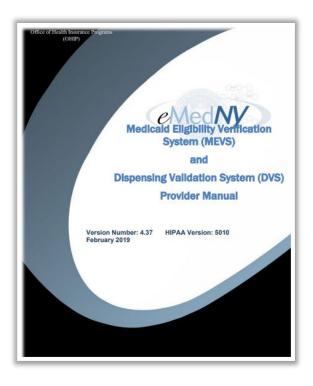
Completing a Prior Authorization Request or Patient Notification

Menu		Link	D A
	NOTIFICATION/PRIOR AUTHORIZATION		
(PROVIDER INFORMATION		
	O Choose the care provider you want to work with using the selection boxes	below. You can change your selection at any time.	
	PROVIDER ORGANIZATION YOU ARE REPRESENTING*		
	CORPORATE TAX ID OWNER*	v	
	TAX ID NUMBER* CARE PROVIDER*		
		CANCEL CONTINUE	
	STANDARD PRIOR AUTHORIZATION/NOTIFICATION TRANSACTIONS	RADIOLOGY, CARDIOLOGY & ONCOLOGY TRANSACTIONS	
Check if a prior authorization is required + CREATE NEW INQUIRY		RY Create or view the status for a notification or prior authorization	
Mako	sure to fill in the	submission for Radiology, Cardiology & Oncology	
		SUBMISSION & STATUS	
Provide	er Information the		
firct tim	e you access the *	Radiology Notification & Authorization	
III JUUI I		Cardiology Notification & Authorization	
	ification/Prior	Oncology Prior Authorization	
Not	ification/Prior	Oncology Prior Authorization	
Not	ification/Prior rization Service Tool	Oncology Prior Authorization	





Additional Resource: Member Eligibility



Medicaid Eligibility Verification (MEV) System:

- Telephone
- ePaces
- X12 270/271 Health Care Benefit Inquiry and Response
- eMedNY Call Center 1-800-343-9000

Providers are <u>required</u> to check eligibility with UnitedHealthcare to ensure services is eligible for payment: <u>uhcprovider.com</u>





Utilization Management





Utilization Management Guidelines

Children's Health and Behavioral Health Medicaid System Transformation

Children's Home and Community Based Services Provider Manual July 2019

health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/hcbs_manual.pdf

Send questions to BH.Transition@health.ny.gov





Prior & Concurrent Authorization

Service	Prior Authorization	Concurrent Authorization
Caregiver/Family Support Services	No	Yes
Community Self-Advocacy Training and Support	No	Yes
Community Habilitation	No	Yes
Day Habilitation	No	Yes
Prevocational Services	No	Yes
Supported Employment	No	Yes
Accessibility Modification	Yes	TBD
Adaptive and Assistive Equipment	Yes	TBD
Palliative Care	Yes	Yes
Planned Respite	No	Yes
Crisis Respite	No	Yes





Utilization Management Appeal

Toll Free Appeals Phone: 1-866-556-8166 or TTY-TDD 7

- Phone number can be used to check status of a appeal and verbally submit an appeal. Note: Any Appeal filed verbally must also be followed up with a written, signed appeal
- Enrollees/Providers have 60 calendar days from the date of denial to request an appeal
- Only one internal appeal allowed
- Clinical appeal turn around time is 72 hours

UM Appeals for ALL Behavioral Health Services:

UnitedHealthcare Community Plan Attn: UM Appeals Coordinator P.O. Box 31364 Salt Lake City, UT 84131





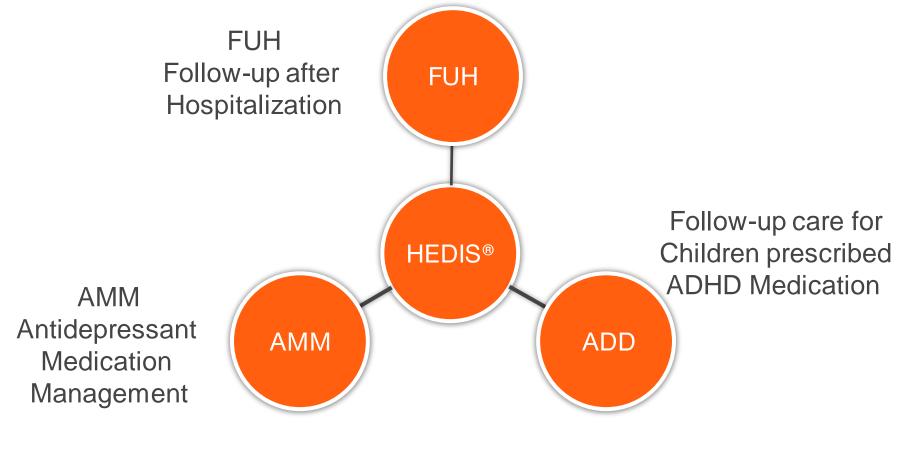


Quality Improvement





Quality Program: HEDIS® Measures



ncqa.org/hedis/





Complaints: Quality of Care (QOC) & Quality of Service (QOS)

Timeframes

- **Urgent complaints:** resolved within 48 hours after receipt of all necessary information and no more than 7 days from the receipt of report
- Non-Urgent complaints: resolved within 45 days after the receipt of all necessary information and no more than 60 days from receipt of report

Who Can Report

• Member, a designee (with written consent), or plan representative

Investigation

 Contracted providers are required to cooperate with all aspects of the investigation process, including providing requested charts, policies and other documentation in a timely manner, and provide corrective action plans within the required timeframe.





Sentinel Events/Critical Incidents

- Definition: Serious, unexpected occurrence involving a member that is believed to represent a possible quality of care issue on the part of the practitioner/facility providing services, which has, or may have, deleterious effects on the Member, including death or serious disability, that occurs during the course of a Member receiving behavioral health treatment
- List: A list of sentinel events/critical incidents that must be reported can be found on providerexpress.com

Who Can Report: Provider

- Timeframe(s): As soon as possible, no later than one (1) business day following the event
- Investigation: Contracted providers are required to cooperate with all aspects of our investigation process, including providing requested charts, policies and other documentation in a timely manner, as well as responding to requests for corrective action plans within the required timeframe
- How to report: Standardized reporting form located at providerexpress.com
 - Fax: 1-844-342-7704
 - Attn: Quality Department
 - Email: NYBH_QIDept@uhc.com

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Provider Performance Reviews

Prompts

- At time of credentialing and recredentialing
- Part of ongoing monitoring efforts
- Part of a Quality of Care (QOC) investigation or other complaint

Evaluated

- Physical Environment
- Policies and Procedures
- Member Records
- Personnel Files







Provider Performance Reviews: Documentation

Documentation should include:

- Plan of Care with SMART Goals (reflective of progress/challenges)
- Start/Stop Time (length of time of service encounter)
- Medical Necessity
- Psychiatric and Medical History
- Presenting Problem
- Assessment and Risk Assessment (initial and ongoing)
- Medication Information
- Care Coordination with PCP (or other treating providers)
- Refusal of Care Coordination
- Substance Use Screening
- Legible (ideally EHR)
- Signed by Provider
- Discharge Plan





Billing and Claims



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Clean Claim

On the correct claim form:

- Agency
- Facility (i.e. Hospital, Residential)

Basic information:

- Member: Name, Medicaid ID, DOB
- Provider: TIN, NPI, Taxonomy Code
- ICD-10 codes



Correct code(s) corresponding to service provided:

• Value, Rate Code, Revenue, CPT/HCPCS, Procedure Code, Modifiers, etc.

Date of Service

Revenue Codes





Clean Claim

A claim with no defect or impropriety (including any lack of any required substantiating documentation) or particular circumstance requiring special treatment that prevents timely payments from being made on the claim is considered a clean claim.

All required fields are:

- Complete
- Legible

All claim submissions must include, but not limited to:

- Member's name, identification number and date of birth
- Provider's Federal Tax I.D. number (TIN)
- National Provider Identifier (NPI)
- Taxonomy Code
- A complete diagnosis (ICD-10-CM)



Providers are responsible for billing in accordance with nationally recognized CMS Correct Coding Initiative (CCI) standards. Additional information is available at cms.gov





Electronic Claim Submission MCTAC Resources

 MCTAC PowerPoint presentation provides descriptions and instructions for every field required for a successful claim submission refer to:

North Country Managed Care and System Transformation Training ctacny.org/sites/default/files/trainings-pdf/4.19.16-north-country.pdf

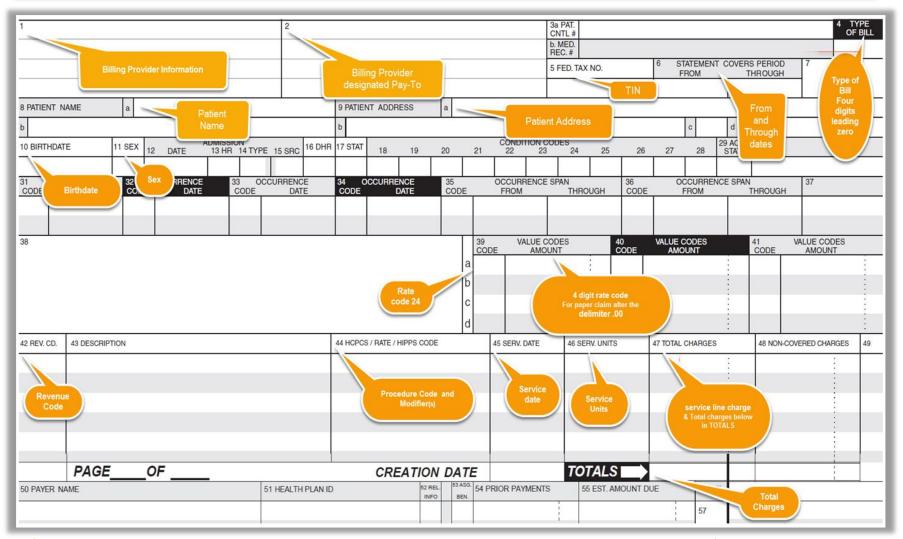
 Billing Overview: An interactive UB-04 form that walks through the components required to submit a clean claim
 MCTAC Billing Tool

billing.ctacny.org/





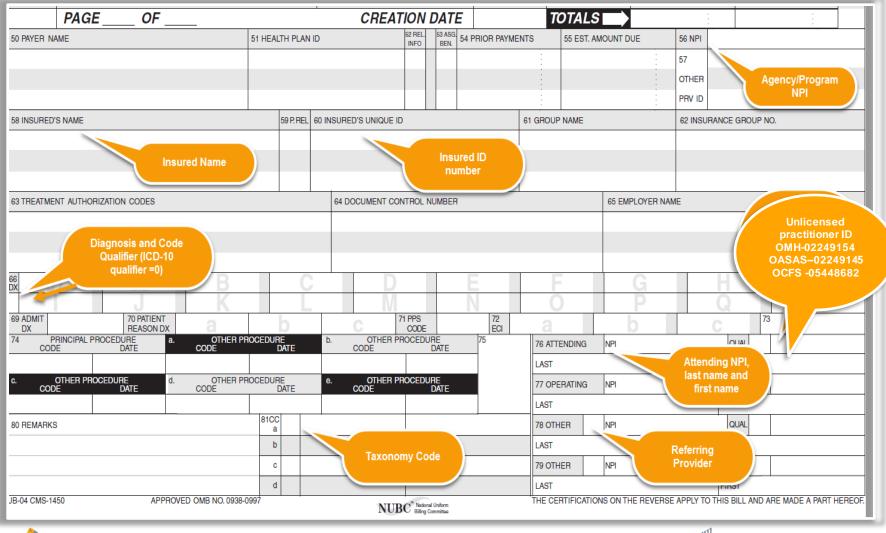
MCTAC Billing Tool: Top section of UB-04 claim form







MCTAC Billing Tool: Bottom section of UB-04 claim form



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Unlicensed Practitioner ID as attending:

OASAS Unlicensed Practitioner ID: 02249145 OMH Unlicensed Practitioner ID: 02249154 OCFS Unlicensed Practitioner ID: 05448682

For Electronic/EDI Claims:

When submitting claims utilizing an unlicensed practitioner ID as Attending, providers will submit the NM1 Attending Provider Loop 2310A as follows:

- NM108 and NM109 will be blank/not sent
- REF Attending Provider Secondary Information will be added
- REF01 G2
- REF02 the OASAS, OMH, or OCFS (CFTSS and HCBS) unlicensed
- practitioner ID (example: REF*G2*02249145~)







Unlicensed Provider NPI Claims Submission

					U.	
				0		Q
			72 ECI	a	b	C 73
			75	76 ATTENDING	NPI	QUAL
			-11	LAST		FIRST
			_	77 OPERATING	NPI	QUAL
				LAST	•	FIRST
PAGE OF	CREATION DATE	TOTALS		78 OTHER	NPI	QUAL
50 PAYER NAME	51 HEALTH PLAN ID S2 REL S3 ASG. 54 PRIOR PA	MENTS 55 EST. AMOUNT DUE	56 NPI 57	LAST	1	FIRST
	76. Attending Provider		OTHER "	79 OTHER	NPI	QUAL
58 INSURED'S NAME	 Attending Provider NPI and Qual Attending Provider - Last Name/First Name 	61 GROUP NAME	62 INSL	LAST		FIRST
	REQUIRED		1		INS ON THE REVERSE APPLY TO	THIS BILL AND ARE MADE A PART HEREOF.
ES TREATMENT AUTHORIZATION CODES	If the individual licensed practitioner is Medicaid enrollable" they must enroll and use their individual NPI number on claims. If the individual practitioner is unlicensed or not a licensed enrollable Medicaid practitioner' the OMH (02249145), OASAS (02249145), or OCFS (05448682) unlicensed practitioner ID may be used. For Electronic/EDI Claims: When submitting claims utilizing an unlicensed practitioner ID as Attending, providers will submit the NM1 Attending Provider Loop 2310A as follows: • NM108 and NM109 will be blank/not sent • REF Attending Provider Secondary Information will be added • REFD1 G2 • REFD2 the OASAS, OMH or OCFS unlicensed practitioner ID • (example: REF'G2'02249145-) • There are certain licensed/credentialed provider: Licensed Master Social Worker (LMSW), Licensed Marriage and Family Therapist, Licensed MH Counselor, Licensed Creative Arts Therapist, Applied Behavioral Analyst, Credentialed Alcohol and Substance Abuse Counselor (CASAC), and Peer.	65 EMPLOYER N/	FIRST OUAL FRIST OUAL FRIST OUAL FRIST OUAL FRIST OUAL FRIST OUAL FRIST	C C D D D D D D D D D D D D D D D D D D		





Example: PROS Cross Walk

Claim 1				
Description	Field on UB-04			
Rate Code 4521 (in header)	39			
H2019 Px Code	44			
U2 Modifier	44			
13-27 Units (at line level)	46			
Modifier Definitions				

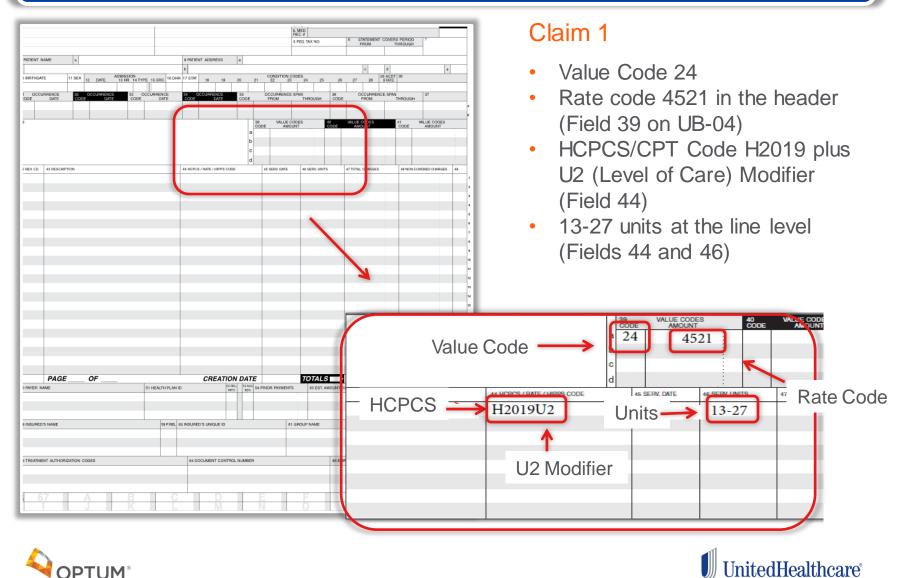
Claim 2				
Description	Field on UB-04			
Rate Code 4525 (in header)	39			
T1015Px Code	44			
HE Modifier	44			
1 Unit (at line level)	46			
Modifier Definitions				

Prog	Rate Code	Rate Code / Service Title	Px Code	Modifiers	Units of Service	Modifier Definitions
PROS	4521	PROS COMM REHAB	H2019	U2	13-27	Level 2 (state-
1.		SRVCS 13-27 UNITS				defined)
2	4525	PROS CLIN TRMT	T1015	HE	1	Mental health
۷.		ADD-ON				program
						· · · · · · · · · · · · · · · · · · ·





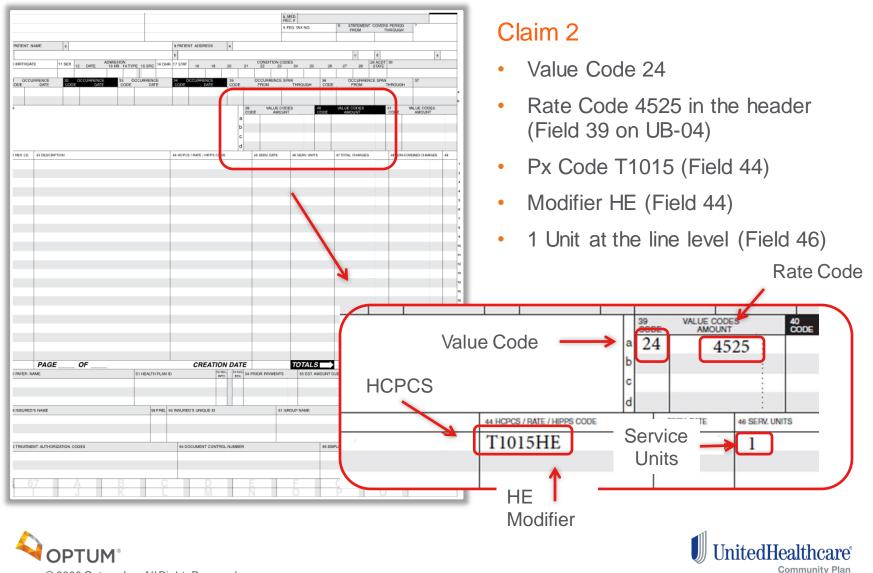
Example: PROS Cross Walk - Claim 1



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Community Plan

Example: PROS Crosswalk - Claim 2



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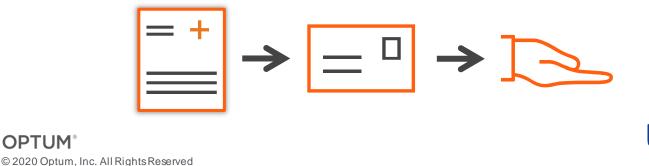
Electronic Claim Submission (837i): Payer ID 87726

Paper Claim Submission (UB-04): If you are unable to file electronically, follow these tips to ensure smooth processing of your paper claim:

- Use an original UB-04 Claim Form (no photocopies)
- Type information to ensure legibility
- Complete all required fields (including ICD indicator and NPI number)
- Mail Paper Claims to:

BH2627 02/2020

Optum Behavioral Health P.O. Box 30760 Salt Lake City, UT 84130-0760



Electronic Data Interchange

Submit batches of claims electronically, right out your practice management system software:



- Ideal for high volume Providers
- Can be configured for multiple payers
- Clearinghouse may charge small fee

Optum can recommend a vendor that is right for you:

- Contact via phone 1-800-765-6705 or via email: inform@optum.com
- Provide: Name, Tax ID, Claims Volume, Single or Multi-Payer Interest





Children's Social Emotionally Disturbed (SED) Clinics

Rate Codes are applicable only for NYS OMH SED Designated Clinics for Medicaid Managed Care SED children only.

OMH SED Clinic Rate Codes	Non Hospital *	Hospital	FQHC
SED Child Base Rate	1510	1519	4601
SED Child Off-Site Base Rate	1513	1525	N/A
SED Child Health Services (e.g., Health Monitoring, Health Physicals)	1477	1591	N/A
SED Child Crisis Intervention	1585	1582	N/A

OMH Clinic Rate Codes Exempt from Utilization Threshold Counts				
SED Child Off-Site Base Rate	1513	1525	N/A	
SED Child Health Services	1477	1591	N/A	
SED Child Crisis Intervention	1585	1582	N/A	

For more information refer to: omh.ny.gov/omhweb/clinic_restructuring/clinic_rate_codes.html

* Non Hospital includes Diagnostic & Treatment Center (DT&Cs), Local Government Unit (LGUs), Freestanding Article 31s, and may be claimed using either the Health Services rate codes or the Clinic Rate Codes





Services	Rate Code	Billing Unit
Crisis Intervention, One Licensed Practitioner	7906	15 Min
Crisis Intervention, One Licensed Practitioner and One Peer Support	7907	15 Min
Crisis Intervention, Two Licensed Practitioners	7908	15 Min
Crisis Intervention 90-180 Minutes, Two Clinicians, One is Licensed	7909	Per Diem
Crisis Intervention Per Diem, Two Clinicians, One is Licensed	7910	Per Diem





CFTSS: Youth Peer Support and Training (YPST) and Family Peer Support Services (FPSS)

Services	Rate Code	Billing Unit
YPST Service Professional	7917	15 Min
YPST Service Professional Group	7918	15 Min
FPSS Service Professional	7915	15 Min
FPSS Service Professional Group	7916	15 Min
YPST/FPSS Offsite	7923	15 min
YPST/FPSS Offsite Group	7930	15 Min





Receive Payment Faster

Benefits of Electronic Payments and Statements (EPS)



- Easy set-up, free to use
- Payments deposited into your bank
- Simplified claims reconciliation
- 24/7 access to your information
- Secure payment and remittance advice

Registering for EPS is easy!

- Login to *Provider Express* with your Optum ID
- Select "EPS" and provide the information necessary to enroll
- Contact Optum Financial Services for assistance: 1-877-620-6194





Quick Reminders

- Always verify member eligibility prior to rendering services.
- Obtain prior authorization for those services that require it
- Use value code 24 and applicable rate code in the correct field
- One rate code per claim
- Include CPT Code (s), Modifier (s) and Service Units as applicable
- There cannot be a hyphen in your Tax Identification Number (TIN)
- NPI numbers are required
- A complete diagnostic code is required (ICD-10)
- Review Provider Remittance Advice regularly to identify issues
 early







Billing Appeals

- Process by which member, or provider on behalf of member, requests a review of adverse determination(s) on the health care services or any amounts that the member must pay toward a covered service
- Appeal of claim payment (amount, partial) or denial: within 60-days of receipt of Provider Remittance Advice (PRA)
- Appeals should be submitted to:

United Healthcare Community Plan Appeals P.O. Box 31364 Salt Lake City, Utah 84131-0364





Managed Care Technical Assistance Center (MCTAC)

The Managed Care Technical Assistance Center (MCTAC) is a training, consultation, and educational resource for all mental health and substance use disorder providers in New York State.

What's available:

- Interactive Glossary of Terms
- Managed Care Language Guide
- Frequently Asked Questions
- MCO Plan Comparison Matrix
- Sample Instructional Claim Form



MCTAC Home Page

ctacny.org





OASAS Billing Guidance

Office of Alcoholism and Substance Abuse Services

Ambulatory Patient Groups (APG) Policy and Medicaid Billing Guidance

OASAS Certified Outpatient Chemical Dependence Programs

www.oasas.ny.gov

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Fee for Service Claim Components	
For Medicaid Managed Care	
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(NW Office of Alcoholism and	APG Policy and Medicald Billing Guidance
V NOR Office of Alcoholism and Substance Abuse Services	February 2018

oasas.ny.gov/admin/hcf/APG/documents/APGManual.pdf





Billing Behavioral Health Medicaid Services Under Managed Care

Claiming Guidance for OMH and OASAS Clinics, OPT, PROS and ACT

omh.ny.gov/omhweb/bho/claiming-guidance-for-clinics.pdf

Continuing Day Treatment Billing Regulations

govt.westlaw.com/nycrr/Document/I5037a9c7cd1711dda432a117e6e0f345 ?viewType=FullText&originationContext=documenttoc&transitionType=Cate goryPageItem&contextData=(sc.Default)







Provider Portals and Online Resources





UHC On-Line Resources

providerexpress.com

- Demographic Updates
- ✓ Guidelines and Policies
- ✓ Best Practice Guidelines
- Network Manual
- ✓ Trainings & Resources
- ✓ Sentinel Events Reporting Form

uhcprovider.com

- Check member eligibility
- Check claim status and payments
- Claims Reconsideration
- Electronic Data Interchange (EDI) information
- ✓ Tools and Resources

- uhccommunityplan.com
 - A website for Health Care Professionals, Community Organizations and Members
 - For providers the links will direct you to important information in your state
 - Directs you to our secure provider site UnitedHealthcare Online®

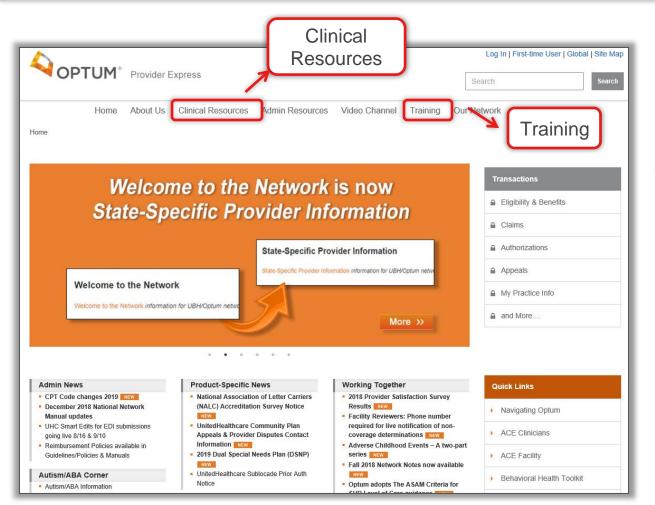
liveandworkwell.com

- ✓ Search for Providers in the Network
- Confidential Work/Life Resource Center
- Offers Interactive Assessments
- Medication Database
- ✓ Self Help Resources





Provider Express: Public Pages



Other Information Available:

- Clinical Guidelines
- Provider Manuals
- Required Forms
- Webinars
- Archived Trainings

providerexpress.com

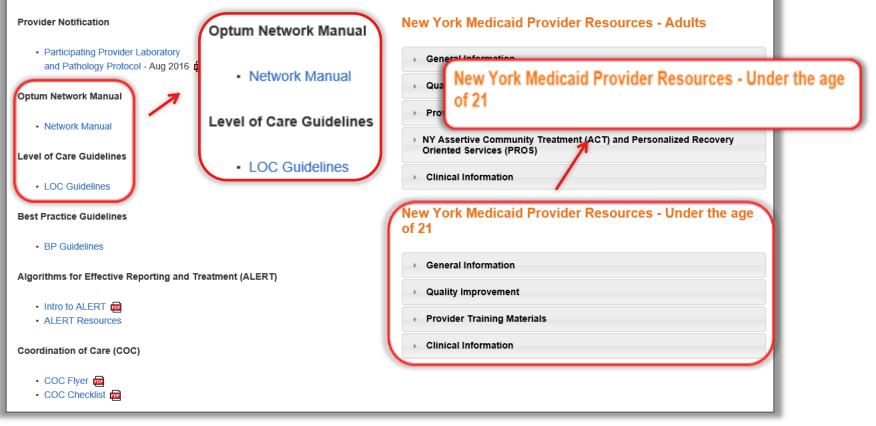




Provider Express: New York Page

Home > Our Network > State-Specific Provider Information > Welcome NY

Welcome to the Optum Network!

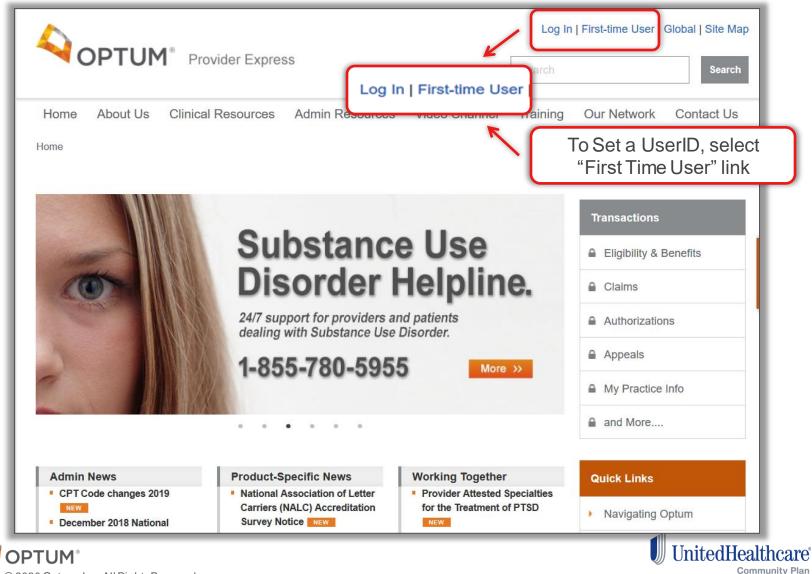


providerexpress.com/content/ope-provexpr/us/en/our-network/welcomeNtwk/wNY.html





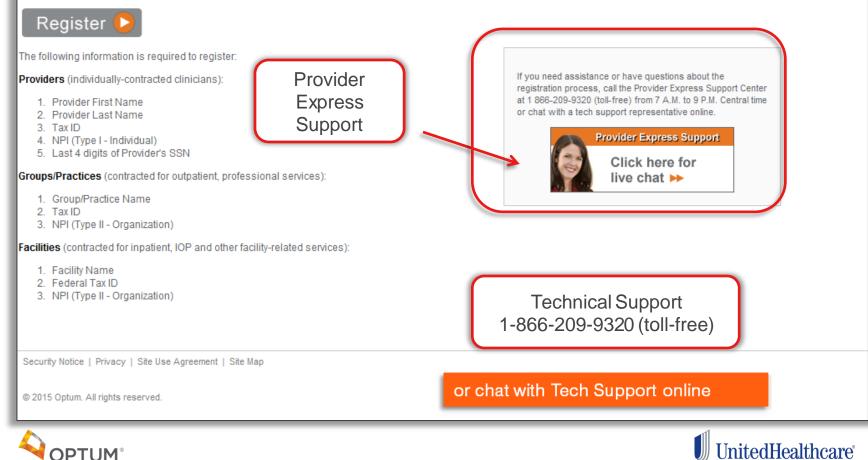
Provider Express: Log Into Private Pages



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Provider Express: Technical Assistance Support Center

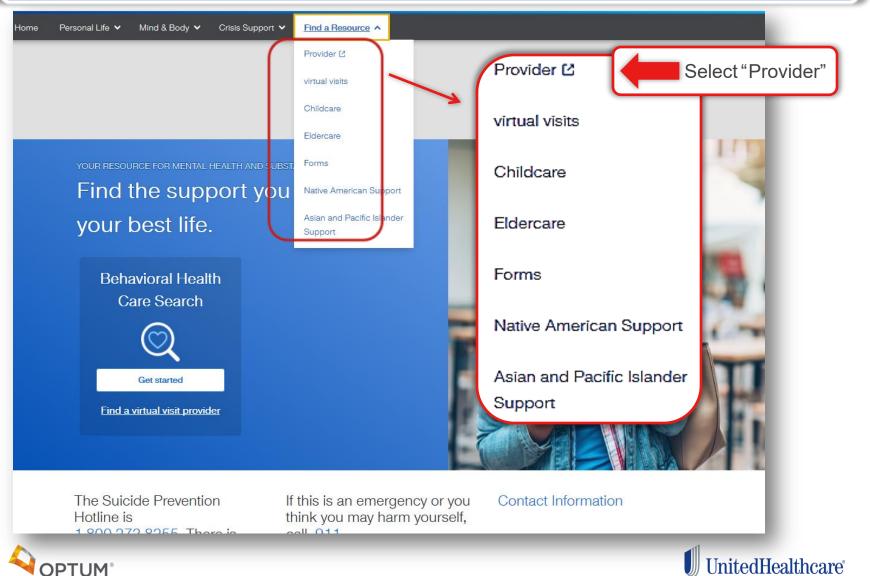
If you are contracted in the Optum/OHBS-CA network, you can use the registration process to create your account within Provider Express.



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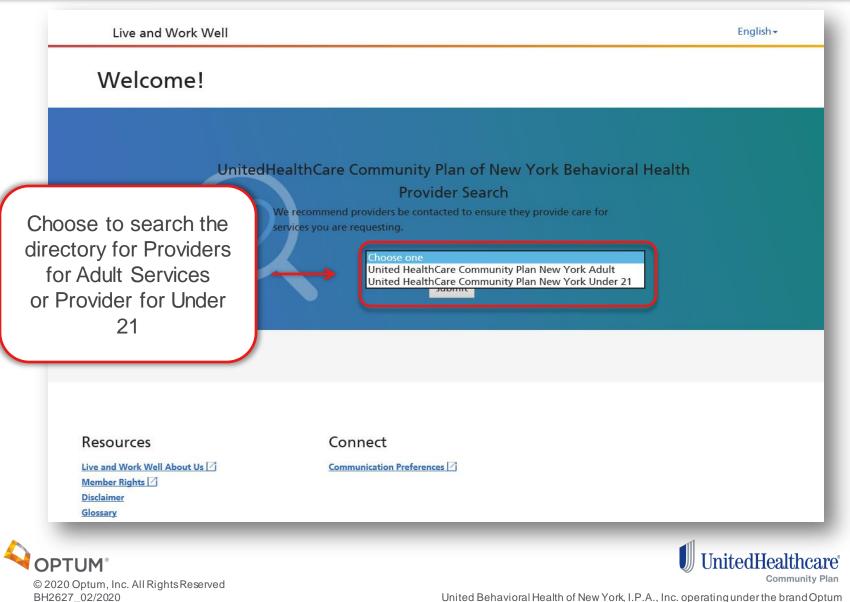
Community Plan

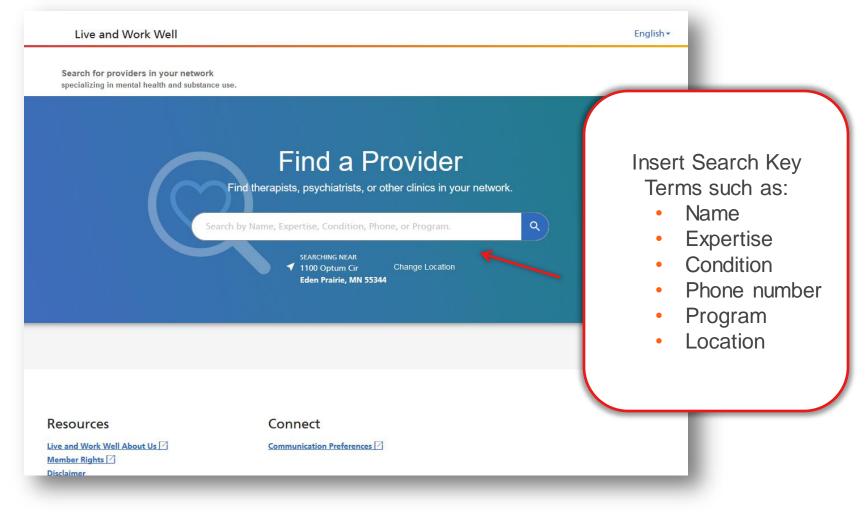


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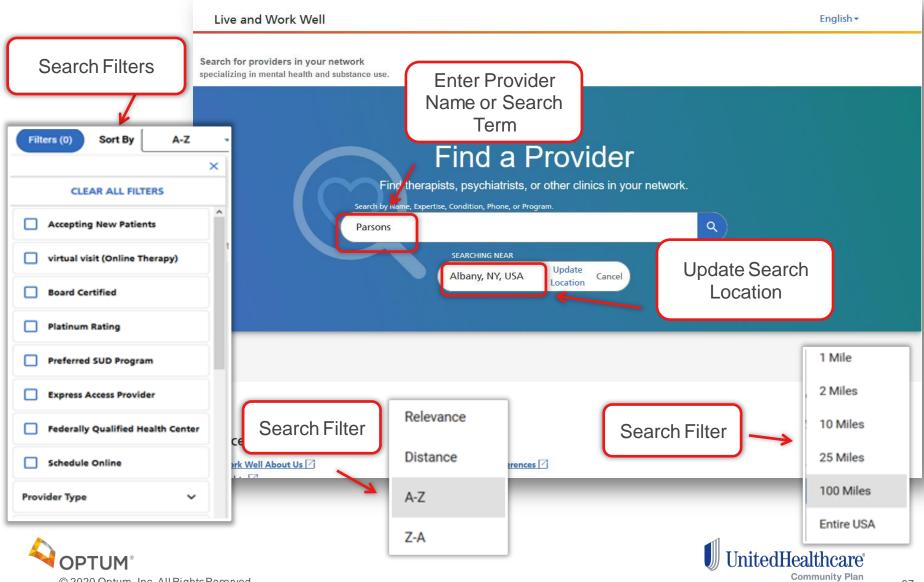
Community Plan











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United Behavioral Health of New York, I.P.A., Inc. operating under the brand Optum

Live and Work Well	English -
Search for providers in your network	
specializing in mental health and substance use.	
-F2	
Please click "Add Filters" and select "Age Group" for the service that you are lookin confirm they treat the requested age group.	g for. Please contact the selected provider to
Search by Name, Expertise, Condition, Phone, or Program.	SEARCHING NEAR Change
parsons	Washington Ave & Hawk S Albany NY, 12207
dd Filters = Sort By Relevance Vithin 25 Miles Vithin	🤎 My Favorites (0) Map 💶
RESULTS WITHIN 25mi We recommend filtering your search.	All Results are In-Network Map Satellite
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	Boght Corners 32
Parsons Child and Family Center	Verdoy (B) Vonces (4)
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(518) 431-1650 OR Accepting New Patients Store 570 N Pearl St	Greet And
Albany NY 12204 1.6 Miles	Coloni Vewtonville (15)
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	(8) 🐨 🔷 All ny Defreestville
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1.6 Miles	Delmar
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UHC On Air on uhcprovider.com



Video broadcasts for care providers

UHC On Air offers you access to live and on-demand education and training videos you can watch anywhere, anytime, from any device. Our programs include these topics and many more:





UnitedHealthcare[®]

Community Plan

UHC On Air access

Get Access



Sign intoLinkby going to UHCprovider.com and clicking on the Link button in the top right corner. Then, select the UHCOn.Air app on your Link Dashboard.



Select the **UHC News Now channel** to view national UnitedHealthcare broadcasts featuring Medicare, Medicaidand commercial benefit plans, programs and services.



Select your state-specific channel to see local programming. After selecting your state-specific channel, you'll see videos categorized by plantype.





Children's Medicaid System Transformation Information

Additional information regarding the service transformation is available on the New York State Department of Health Website. Examples include:

- Transformation Webinars
- Children's Medicaid and Behavioral Health System
 Transformation Updates
- Children's Health Home Webinars
- Training and Technical Assistance
- Children's Transition Timeline and Family Treatment and Support Services Rates

health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/web-info_child_mst.htm





Additional Guides and Resources Department of Health

Children's Behavioral Health Transition to Managed Care health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/ index.htm

Children and Family Treatment and Support Services (CFTSS) health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/ proposed_spa.htm

Health Home Program Updated Billing Guidance health.ny.gov/health_care/medicaid/program/medicaid_health_homes/ docs/update_bill_guidance.pdf





Children's CFTSS Provider Designation Information:

health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/docs/20 18-04-19_provider_designation_and_authorization.pdf

Children and Family Treatment and Support Services (CFTSS):

health.ny.gov/health_care/medicaid/redesign/behavioral_health/children/propose d_spa.htm

Approved Rates and Rate Codes:

omh.ny.gov/omhweb/medicaid_reimbursement/

New York State Health and Recovery Plan (HARP) / Mainstream Behavioral Health Billing and Coding Manual Billing and Coding Manual:

omh.ny.gov/omhweb/bho/harp-mainstream-billing-manual.pdf

OMH and OASAS Supported On-Line Trainings are available through the Center for Practice Innovation (Children's Trainings are coming live soon): practiceinnovations.org





Office of Alcoholism and Substance Abuse Resources

OASAS Ambulatory Patient Groups (APG) Policy and Medicaid Billing Guidance document

https://omh.ny.gov/omhweb/bho/harp-mainstream-billing-manual.pdf

Integrated Outpatient Services – Implementation https://www.health.ny.gov/health_care/medicaid/redesign/dsrip/docs/2016-08-12_integrated_care_faqs_clean.pdf

Medicaid APG Per Service Rate Chart OASAS https://www.health.ny.gov/health_care/medicaid/redesign/behavioral_ health/children/docs/billing_manual.pdf

OASAS Managed Care Website https://www.health.ny.gov/health_care/managed_care/quality_strategy.htm

OASAS Free Learning and Development Opportunities http://www.acces.nysed.gov/vr/substance-use-disorders-and-vocationalrehabilitation-implications





Questions?

Thank you

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